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TRANSMITTAL  
FORM

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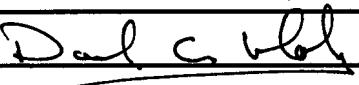
21

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd
Attorney Docket Number	PA3937US

## ENCLOSURES (Check all that apply)

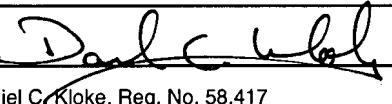
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) Confirmation postcard; 2) Duplicate of Supplemental IDS; 3) PTO/SB/08 (x2); 4) 14 Cited References; 5) Check for \$180.00.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
Total page number does not include postcard, check or cited references.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carr & Ferrell LLP	Cust. No. 22830
Signature		
Printed name	Daniel C. Kloke	
Date	April 20, 2007	Reg. No. 58,417

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature		
Typed or printed name	Daniel C. Kloke, Reg. No. 58,417	Date
	April 20, 2007	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ST-1492  
PATENT & TRADEMARK OFFICE  
1492  
FEE TRANSMITTAL  
For FY 2006

Subject to the Consolidated Appropriations Act, 2005 (H.R. 4818).

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Examiner Name	Russell Warren Frejd
Art Unit	2128
Attorney Docket No.	PA3937US

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 06-0600 Deposit Account Name: Carr & Ferrell LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

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## FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 (including Reissues)

## Small Entity

## Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

## Total Claims

## Extra Claims

## Fee (\$)

## Fee Paid (\$)

## Multiple Dependent Claims

## Fee (\$)

## Fee Paid (\$)

- 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

## Indep. Claims

## Extra Claims

## Fee (\$)

## Fee Paid (\$)

## Multiple Dependent Claims

## Fee (\$)

## Fee Paid (\$)

- 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

## 4. OTHER FEE(S)

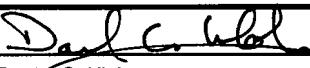
Non-English Specification, \$130 fee (no small entity discount)

## Fees Paid (\$)

Other (e.g., late filing surcharge): Supplemental Information Disclosure Statement Filing Fee

180

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 58,417	Telephone 650.812.3400
Name (Print/Type)	Daniel C. Kloke		Date April 20, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Glenn Ferguson et al.

APPLICATION NO.: 09/766,615

FILING DATE: July 26, 2001

TITLE: Data Model for Automated Server Configuration

EXAMINER: Russell Warren Frejd

ART UNIT: 2128

ATTY.DKT. NO.: PA3937US

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, Alexandria, VA 22313-1450, on the date printed below:

Dated: April 20, 2007

By: Daniel C. Kloke  
Daniel C. Kloke, Reg. No. 58,417

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**Under 37 C.F.R. §§ 1.56, and 1.97-1.98**

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO/SB/08 listing several references. The Examiner is requested to make these references of official record in the application. The references cited

may be material to examination of the application and is submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56. No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

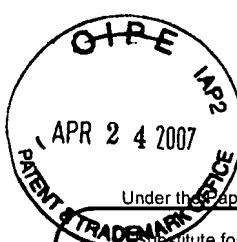
Applicants have enclosed the applicable fee of \$180.00 with this submission, in accordance to C.F.R. § 1.17(p). If more fees are due, however, the Commissioner is hereby authorized to charge any necessary fees to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,  
Glenn Ferguson, et al.

Dated: April 20, 2007

By: 

Daniel C. Kloke, Reg. No. 58,417  
Carr & Ferrell LLP  
2200 Geng Road  
Palo Alto, CA 94303  
TEL: (650) 812-3400  
FAX: (650) 812-3444



PTO/SB/08A (09-06)

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 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 7

### Complete if Known

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd
Attorney Docket Number	PA3937US

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> ( <i>if known</i> )			
A	US- 5175800		12-29-1992	Gal is	
B	US- 5249274		09-28-1993	Sztipanovits et al.	
C	US- 5295244		03-15-1994	Dev	
D	US- 5650994		07-22-1997	Daley	
E	US- 5655081		08-05-1997	Bonnell et al.	
F	US- 5742829		04-21-1998	Davis et al.	
G	US- 5748896		05-05-1998	Daly	
H	US- 5752042		05-12-1998	Cole et al.	
I	US- 5781703		07-14-1998	Desai et al.	
J	US- 5832503		11-03-1998	Malik et al.	
K	US- 5835911		11-10-1998	Nakagawa et al.	
L	US- 5838907		11-17-1998	Hansen	
M	US- 5870751		02-09-1999	Trotter	
N	US- 5872928		02-16-1999	Lewis	
O	US- 5920567		07-06-1999	Saito et al.	
P	US- 5944782		08-31-1999	Noble	
Q	US- 5996010		11-30-1999	Leong et al.	
R	US- 6009274		12-28-1999	Fletcher	
S	US- 6012100		01-04-2000	Fraigong	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> "Number" Kind Code <sup>3</sup> ( <i>if known</i> )				
1	WO9108542A		06-13-1991	Seer Technologies Inc.		
2	WO9826548		06-18-1998	Whistle Communications		
3	WO9847057		10-22-1998	R2K, LLC		
4	WO9853397		11-26-1998	Symantec Corporation		
5						
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Examiner Signature		Date Considered	
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 7

### Complete if Known

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd
Attorney Docket Number	PA3937US

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
A	US- 6021437		02-01-2000	Chen	
B	US- 6023464		02-08-2000	Woundy	
C	US- 6029196		02-22-2000	Lenz	
D	US- 6052720		04-18-2000	Traversat	
E	US- 6067582		05-23-2000	Smith	
F	US- 6088797		07-11-2000	Rosen	
G	US- 6098097		08-01-2000	Dean	
H	US- 6108420		08-22-2000	Larose	
I	US- 6123737		09-26-2000	Sadowsky	
J	US- 6125388		09-26-2000	Reisman	
K	US- 6128729		10-03-2000	Kimball	
L	US- 6134593		10-17-2000	Alexander	
M	US- 6138153		10-24-2000	Collins, III et al.	
N	US- 6167358		12-26-2000	Othmer et al.	
O	US- 6167567		12-26-2000	Chiles	
P	US- 6185466		02-06-2001	Nicewonger	
Q	US- 6192354		02-20-2001	Bigus	
R	US- 6202206		03-13-2001	Dean	
S	US- 6226679		05-01-2001	Gupta	

### FOREIGN PATENT DOCUMENTS

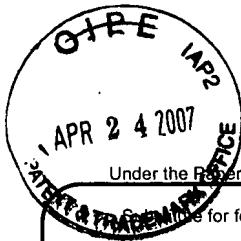
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet 3 of 7

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		Number-Kind Code <sup>2</sup> (if known)			
A	US- 6226788		05-01-2001	Schoening	
B	US- 6247049		06-12-2001	Scott	
C	US- 6249883		06-19-2001	Cassidy	
D	US- 6252858		06-26-2001	Inoue	
E	US- 6266335		07-24-2001	Bhaskaran	
F	US- 6266809		07-24-2001	Craig	
G	US- 6286038		09-04-2001	Reichmeyer et al.	
H	US- 6286041		09-04-2001	Collins III	
I	US- 6304549		10-16-2001	Srinivasan et al.	
J	US- 6314565		11-06-2001	Kenner	
K	US- 6330586		12-11-2001	Yates et al.	
L	US- 6345239		02-05-2002	Bowman-Amuah	
M	US- 6347398		02-12-2002	Parthasarathy	
N	US- 6349306		02-19-2002	Malik	
O	US- 6381628		04-30-2002	Hunt	
P	US- 6389126		05-14-2002	Bjornberg et al.	
Q	US- 6393386		05-21-2002	Zager	
R	US- 6396810		05-28-2002	Hebel	
S	US- 6411961		06-25-2002	Chen	

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		Country Code <sup>3</sup> "Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

4

of

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		Number-Kind Code <sup>2</sup> ( <i>if known</i> )			
A	US- 6418555		07-09-2002	Mohammed	
B	US- 6421719		07-16-2002	Lewis et al.	
C	US- 6463528		10-08-2002	Rajakarunananayake et al.	
D	US- 6502131		12-31-2002	Vaid	
E	US- 6516337		02-04-2003	Tripp	
F	US- 6516347		02-04-2003	Nakamura	
G	US- 6546392		04-08-2003	Bahlmann	
H	US- 6546553		04-08-2003	Hunt	
I	US- 6577614		06-10-2003	Cook et al.	
J	US- 6594723		07-15-2003	Chapman	
K	US- 6598090		07-22-2003	Champlin	
L	US- 6604238		08-05-2003	Lim	
M	US- 6618366		09-09-2003	Furukawa	
N	US- 6633907		10-14-2003	Spencer	
O	US- 6662221		12-09-2003	Gonda et al.	
P	US- 6686838		02-03-2004	Rezvani	
Q	US- 6708195		03-16-2004	Borman	
R	US- 6728748		04-27-2004	Manigupudi	
S	US- 6732269		05-04-2004	Baskey	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )				
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 7

**Complete if Known**

Application Number	09/766,615
Filing Date	July 26, 2001
First Named Inventor	Glenn Ferguson
Art Unit	2128
Examiner Name	Russell Warren Frejd

Attorney Docket Number PA3937US

**U. S. PATENT DOCUMENTS**

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		Number-Kind Code <sup>2</sup> (if known)			
A	US- 6738908		05-18-2004	Bonn	
B	US- 6754707		06-22-2004	Richards	
C	US- 6775830		08-10-2004	Matsunami	
D	US- 6801949		10-05-2004	Bruck	
E	US- 6802061		10-05-2004	Parthasarathy et al.	
F	US- 6816903		11-09-2004	Rakoshitz	
G	US- 6816964		11-09-2004	Suzuki	
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I	US- 6834389		12-21-2004	Glass	
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Sheet 6 of 7

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A	US-	2002/0194584	12-19-2002	Suorsa	
B	US-	2005/0044544	02-24-2005	Slivka et al.	
C	US-				
D	US-				
E	US-				
F	US-				
G	US-				
H	US-				
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R	US-				
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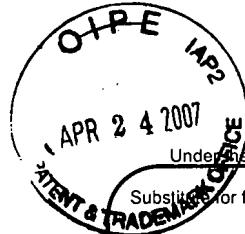
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
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First Named Inventor	Glenn Ferguson
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Attorney Docket Number	PA3937US

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Oracle Intelligent Agent User's Guide", Oracle Corporation	
		ANDERSON, "Towards a High-Level Machine Configuration System"	
		BREDIN, "Market-based Resource Control for Mobil Agents" 1998	
		SHIREY, R. "Internet Security Glossary"	
		Entry for "SSL"	
		GILMORE, C. "Secure Remote Access to an Internet Web Server"	
		JUN Xu, "Networked Windows NT System Field Failure Data Analysis"	
		PIDD et al. "Component-Based Simulation on the Web" Dec. 99	
		SYMBORSKI, C.W., "Updating Software and Configuration Data in a Distributed Communications Network"	
		YAN, "The SIFT Information Dissemination System" Dec 1999	

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